

Zeros of the Sum of Two Polynomials

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Abstract

In this paper we find bounds for the zeros of the sum of two polynomials whose coefficients are restricted to certain conditions in the framework of Enestrom-Keakeya theorem.

Mathematics Subject Classification: 30 C 10, 30 C 15

Keywords and Phrases: Coefficient, Polynomial, Zero

Introduction

A famous result giving a bound for all the zeros of a polynomial with real positive monotonically decreasing coefficients is the following result known as Enestrom-Keakeya theorem [2]:

Theorem A: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n such that

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0.$$

(1)

Then all the zeros of P(z) lie in the closed disk $|z| \leq 1$.

If the coefficients are monotonic but not positive, Joyal, Labelle and Rahman [1] gave the following generalization of Theorem A:

Theorem B: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n such that

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0.$$

Then all the zeros of P(z) lie in the closed disk

$$|z| \leq \frac{a_n - a_0 + |a_0|}{|a_n|}.$$

If P(z) and Q(z) are two polynomials whose coefficients satisfy relations of the type (1), then the question arises whether Theorem A holds good for the sum

P(z)+Q(z) of the two polynomials. It is easy to see that if the two polynomials have the same degree, then the conclusion of Theorem A holds good. But if P(z) and Q(z) are not of the same degree, then the result is not true. For example consider the

polynomials $P(z) = z^2 + z + 1$ and $Q(z) = 3z + 2$. Both satisfy the conditions of Theorem A and both of them have their zeros in $|z| \leq 1$. But $P(z) + Q(z) = z^2 + 4z + 3$, whose zeros are -1, -3 with moduli 1 and 3 so that one zero does not lie in $|z| \leq 1$ and the theorem fails.

The same is true of the polynomials $P(z) = 5z^2 + 4z + 3$ and $Q(z) = 8z + 7$. The modulus of each zero of P(z)+Q(z) is $2 > 1$. In such cases, however, we prove the following result:

Theorem 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ and

$Q(z) = \sum_{j=0}^m b_j z^j$ be polynomials of degrees n and m (n>m) respectively such that

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0$$

$$b_m \geq b_{m-1} \geq \dots \geq b_1 \geq b_0.$$

Then P(z)+Q(z) has all its zeros in the disk

$$|z| \leq \frac{a_n + |b_m| + b_m + |a_0| + |b_0| - a_0 - b_0}{|a_n|}.$$

The bound of Theorem 1 for the zeros of P(z)+Q(z) in the first example given above is 7 and $|z| \leq 7$ contains both -1 and -3. Similarly the bound for the second example is 4.2 and $|z| \leq 4.2$ contains both the zeros.

If we take Q(z)=0, Theorem 1 gives Theorem B.

Proof of Theorem

Proof of Theorem 1: Let $n=m+p, p>0$ i.e. $m=n-p$. Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)(P(z) + Q(z)) \\ &= (1-z)[a_n z^n + a_{n-1} z^{n-1} + \dots + a_{n-p+1} z^{n-p+1} + (a_{n-p} + b_{n-p})z^{n-p} + \dots \\ &\quad + (a_{n-p-1} + b_{n-p-1})z^{n-p-1} + \dots + (a_1 + b_1)z + (a_0 + b_0)] \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + (a_{n-1} - a_{n-2})z^{n-1} + \dots + (a_{n-p+2} - a_{n-p+1})z^{n-p+2} \\ &\quad + (a_{n-p+1} - a_{n-p} - b_{n-p})z^{n-p+1} + (a_{n-p} + b_{n-p} - a_{n-p-1} - b_{n-p-1})z^{n-p} \\ &\quad + \dots + (a_1 + b_1 - a_0 - b_0)z + (a_0 + b_0). \end{aligned}$$

For $|z| > 1$, we have, by using the hypothesis,

$$\begin{aligned} |F(z)| &\geq |a_n||z|^{n+1} - |(a_n - a_{n-1})z^n + (a_{n-1} - a_{n-2})z^{n-1} + \dots + (a_{n-p+2} - a_{n-p+1})z^{n-p+2} \\ &\quad + (a_{n-p+1} - a_{n-p} - b_{n-p})z^{n-p+1} + (a_{n-p} + b_{n-p} - a_{n-p-1} - b_{n-p-1})z^{n-p} \\ &\quad + \dots + (a_1 + b_1 - a_0 - b_0)z + (a_0 + b_0)| \\ &\geq |a_n||z|^{n+1} - |z|^n [|a_n - a_{n-1}| + \frac{|a_{n-1} - a_{n-2}|}{|z|} + \frac{|a_{n-2} - a_{n-3}|}{|z|^2} + \dots + \frac{|a_{n-p+2} - a_{n-p+1}|}{|z|^{p-2}} \\ &\quad + \frac{|a_{n-p+1} - a_{n-p} - b_{n-p}|}{|z|^{p-1}} + \frac{|a_{n-p} + b_{n-p} - a_{n-p-1} - b_{n-p-1}|}{|z|^p} + \dots \\ &\quad + \frac{|a_1 + b_1 - a_0 - b_0|}{|z|^{n-1}} + \frac{|a_0 + b_0|}{|z|^n}] \\ &> |z|^n [|a_n||z| - \{a_n - a_{n-1} + a_{n-1} - a_{n-2} + \dots + a_{n-p+2} - a_{n-p+1} + a_{n-p+1} - a_{n-p} \\ &\quad + |b_{n-p}| + a_{n-p} - a_{n-p-1} + b_{n-p} - b_{n-p-1} + \dots + a_1 - a_0 + b_1 - b_0 + |a_0| + |b_0|\}] \\ &= |z|^n [|a_n||z| - \{a_n + |b_{n-p}| + b_{n-p} + |a_0| + |b_0| - a_0 - b_0\}] \\ &> 0 \text{ if} \\ &|a_n||z| - \{a_n + |b_{n-p}| + b_{n-p} + |a_0| + |b_0| - a_0 - b_0\} > 0 \end{aligned}$$

i.e.

$$|z| > \frac{1}{|a_n|} (a_n + |b_{n-p}| + b_{n-p} + |a_0| + |b_0| - a_0 - b_0).$$

This shows that those zeros of $F(z)$ whose modulus is greater than 1 lie in

$$|z| \leq \frac{1}{|a_n|} (a_n + |b_{n-p}| + b_{n-p} + |a_0| + |b_0| - a_0 - b_0).$$

But the zeros of $F(z)$ whose modulus is less than or equal to 1 already satisfy the above inequality. Therefore, it follows that all the zeros of $F(z)$ lie in the disk

$$|z| \leq \frac{1}{|a_n|} (a_n + |b_{n-p}| + b_{n-p} + |a_0| + |b_0| - a_0 - b_0).$$

Since the zeros of $P(z)$ are also the zeros of $F(z)$, the result follows.

References

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- [2] M.Marden, *Geometry of Polynomials*, *Math. Surveys No. 3*, Amer. Math Society(R.I. Providence) (1996).